

ATTORNEY DOCKET NO.: 5547

PATENT APPLICATION

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Mark Rosenberg

Confirmation No.: 5201

Application No.: 09/592,845

Examiner: S. Narayanswamy

Filing Date: June 13, 2000

Group Art Unit: 3624

Title: INVESTMENT STRUCTURE AND METHOD HAVING FIXED AND CONTINGENT
COMPONENTSCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**RECEIVED**

JUL 20 2004

GROUP 3600TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

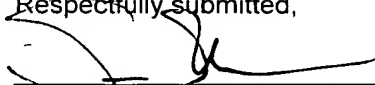
- ☒ Response/Amendment ☒ Petition to extend time to respond
☒ New fee as calculated below ☐ Supplemental Declaration
☐ No additional fee (Address correspondence to "Mail Stop Non-Fee Amendment")
☐ Other: _____ (fee \$ _____)

CLAIMS AS AMENDED BY OTHER THAN A LARGE ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	16	MINUS	84	= 0	X \$9	\$ 0
INDEP. CLAIMS	3	MINUS	14	= 0	x \$43	\$ 0
[]	FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$145	\$ 0
EXTENSION FEE	1ST MONTH \$55.00 <input type="checkbox"/>	2ND MONTH \$210.00 <input type="checkbox"/>	3RD MONTH \$475.00 <input checked="" type="checkbox"/>	4TH MONTH \$740.00 <input type="checkbox"/>		\$ 475
OTHER FEES						\$ 0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 475

Please charge **\$475.00** to **Deposit Account No. 50-2849** to cover the above fees. In the event any difference exists between the amount authorized to be charged and the actual charges, please charge or credit any such difference to **Deposit Account No. 50-2849**.

Respectfully submitted,

ANDREWS KURTH LLP
Intellectual Property Department
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Washington, D.C. 20006
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Sean S. Wooden
Attorney/Agent for Applicant(s)
Reg. No. 43,997

Date: **July 14, 2004**

- Attach as First Page to Transmitted Papers -